

Data protection information for shareholders, proxies and guests at the annual general meeting of CO.DON AG

Dear shareholders, proxies and guests of the annual general meeting,

With this letter we would like to give you an overview of the personal data that are processed by us in connection with the annual general meeting and your attendance as a shareholder or proxy, and what your rights are concerning this processing.

Data controller and contact in data protection matters

We are the controller of the data processing,

CO.DON AG
Warthestr. 21
14513 Teltow

You can reach us and our data protection officer, Mr Jens Krügermann (kpp group GmbH), with all your questions about data protection as follows:

CO.DON AG
Attn: Data Protection Officer
Deutscher Platz 5d
04103 Leipzig
Telephone +49 341 991 90 200
Fax +49 341 991 90 309
Email: datenschutz@codon.de

Which personal data do we process and where do we get these data from?

Personal data means all information that can be assigned directly or indirectly to a particular individual. This also includes information that can only be attributed to a person indirectly, e.g. by means of attribution to an identifier like a name, an identification number (e.g. patient number) or to site data.

In order to meet our obligations as a joint stock company we particularly collect the following data:

In connection with the annual general meeting:

- Personal master data:
 - Surname, name
 - Address
 - email address, phone number

- Entrance ticket and voting card number
- Number of shares owned by shareholder on the relevant date
- Information on how shares are held
- Information on powers-of-attorney and instructions
- Information about depositary banks, if applicable

In connection with transparency, reporting and publication obligations

- Personal master data:
 - Surname, name
 - Company name, if applicable
- Detailed information from notifications on voting rights

In connection with investor relations:

- Personal master data:
 - Surname, name
 - Address data, if applicable
- Information from your position as a shareholder

We receive the information either directly from you, as the data subject, or from your depositary bank.

Purpose of collection, use and disclosure of your data

We use your personal data to communicate with you as a shareholder and to prepare, carry out and document the annual general meeting, e.g. to keep a registration list/register, an attendance list/register and a list of speakers, to verify and record powers-of-attorney and instructions, to create entrance cards and voting cards, to publish any motions tabled, to record questions and to analyse and produce statistics on the number of registrations and the shareholder structure.

We also use your data to meet statutory transparency, reporting and publication obligations imposed on us by supervisory or securities regulations and to meet retention obligations under securities, commercial and tax law, and to process enquiries.

Your data are processed by the individuals and departments at CO.DON AG who are responsible for preparing, carrying out and documenting the annual general meeting, for meeting statutory obligations or for answering enquiries in connection with investor relations. External processors which we appoint to organise and carry out the annual general meeting or provide other services, lawyers and public notaries and participants at the annual general meeting may also receive your data (e.g. by inspecting the attendance register). To the extent that specific publication or reporting obligations exist, the general public and/or supervisory authorities also receive certain personal data.

We do not disclose personal data to service providers outside the European Economic Area (EEA). However, it cannot be ruled out that data are disclosed to individuals or companies in third countries in connection with any requests from shareholders from third countries.

Legal basis for the data processing

We process your personal data in accordance with the EU General Data Protection Regulation (GDPR), the German Federal Data Protection Act (BDSG), the German Stock Corporation Act (AktG) and all other relevant legislation.

Personal data is generally processed on the basis of Art. 6(1)(c) GDPR. CO.DON AG must comply with certain statutory obligations in connection with the annual general meeting, the adoption of resolutions and transparency, reporting and publication obligations.

Personal data is generally also processed on the basis of Art. 6(1)(f) GDPR. CO.DON AG has a legitimate interest in preparing and holding the annual general meeting effectively and in accordance with the law and in answering enquiries from individual data subjects as far as legally possible and in providing the necessary information. It may also have a legitimate interest in complying with securities regulations outside Europe and in defending itself against legal claims.

What rights do you have?

You have a right to demand **access** to your personal data stored by CO.DON AG at any time.

In addition, you have a right to the **rectification** of incorrect data, to the **restriction** of processing of excessively processed data and to the **erasure** of personal data that have been processed illegitimately or stored for too long (unless they are subject to statutory retention periods or other reasons mentioned in Art. 17(3) GDPR). Furthermore, you have the right to **transfer** all the data you have provided to us in a common data format (right of data portability), to the extent that you have provided us with data in the context of a consent declaration or the performance of a contract.

If you also have a right to object to individual processing methods, you are notified when the individual methods are described.

To assert your rights you can approach us by post at the above address and by phone, email or fax.

You also have the right to complain to a data protection authority about our processing of your personal data.

Data is provided freely

Generally speaking, shareholders and/or proxies provide personal data freely. However, if you decide not to provide us with your personal data in connection with the preparation and holding of annual general meetings, their documentation or the preparation and publication of the attendance register, this may have consequences for your attendance and/or voting rights. You may be obliged to provide

us with your personal data if we have to meet statutory reporting, publication or retention obligations. In this case you may be subject to significant negative legal consequences if you do not provide the data.

Erasure of data

As a rule we anonymise or erase your personal data as soon as its processing is no longer necessary for the purposes mentioned above and unless we are obliged to continue storing them to comply with statutory documentation and retention obligations. Such documentation and retention obligations are imposed by securities, commercial and tax law, for instance, and by other applicable regulations. The storage periods may be up to 10 years. In the case processing is restricted accordingly, however. Otherwise we only retain personal data in isolated cases, if necessary in connection with claims made for or against CO.DON AG for example. Statutes of limitations of up to 30 years apply in these cases.